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FOR (REV	M PTO-1 7 10-95)	390 (Modified)	ENT. OMMERCE PATENT AND TRADEMARK OFF	ATTORI S DOCKET NUMBER
	T	RANSMITTAL LETTE	1928	
		DESIGNATED/ELEC	TED OFFICE (DO/EO/US)	U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR
			ING UNDER 35 U.S.C. 371	
INT	FRNA	TIONAL APPLICATION NO.		10/018649
		PCT/DE 00/01838	INTERNATIONAL FILING DATE JUNE 6, 2000	PRIORITY DATE CLAIMED JUNE 19, 1999
	LE OF	INVENTION	001120,2000	JUNE 19, 1999
PIE	EZOE	ELECTRIC ACTUATOR		
		NT(S) FOR DO/EO/US		
Fri	edric	h BOECKING		
App	licant	herewith submits to the United S	States Designated/Elected Office (DO/EO/	US) the following items and other information:
1.	\boxtimes		f items concerning a filing under 35 U.S.C	
2.			QUENT submission of items concerning	
3.	\boxtimes	This is an express request to be	egin national examination procedures (35.)	(ISC 271(f)) at any time method the 11
		examination until the expiration	on of the applicable time limit set in 35 U.S	S.C. 371(b) and PCT Articles 22 and 39(1).
4.	×	A proper Demand for Internati	onal Preliminary Examination was made b	by the 19th month from the earliest claimed priority date.
5.	×		plication as filed (35 U.S.C. 371 (c) (2))	
Tand?			th (required only if not transmitted by the	International Bureau).
the feet			by the International Bureau.	
<u>.</u>	57		application was filed in the United States	
6.	X		al Application into English (35 U.S.C. 37)	1(c)(2)).
7.		A copy of the International Sea	- · ·	
≅ 8. ≅			he International Application under PCT A	
-2 7			ith (required only if not transmitted by the	International Bureau).
=			by the International Bureau.	
A Va.			however, the time limit for making such ar	mendments has NOT expired.
	\Box	d. have not been made a		:
] 9.]10.			ts to the claims under PCT Article 19 (35 I	U.S.C. 371(c)(3)).
10. 11.			ventor(s) (35 U.S.C. 371 (c)(4)).	
12.			liminary Examination Report (PCT/IPEA/	
12.		(35 U.S.C. 371 (c)(5)).	the International Preliminary Examination	Report under PCT Article 36
It	tems 1	3 to 18 below concern docume	nt(s) or information included.	
13.	\times		tement under 37 CFR 1.97 and 1.98.	
14.				ance with 37 CFR 3.28 and 3.31 is included.
15.	\boxtimes	A FIRST preliminary amendme		and with 57 of 10 5.20 and 5.51 is included.
		A SECOND or SUBSEQUENT	Γ preliminary amendment.	
16.		A substitute specification.	•	
17.		A change of power of attorney a	and/or address letter.	
18.	\boxtimes	Certificate of Mailing by Expre	ss Mail	
19.		Other items or information:		
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UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner:

Group:

Attorney Docket # 1928

Applicant(s): BOECKING, F.

Serial No.

Filed

For

: PIEZOELECTRIC ACTUATOR

SIMULTANEOUS AMENDMENT

December 18, 2001

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

SIRS:

Simultaneously with filing of the above identified application please amend the same as follows:

In the Claims:

Cancel all claims without prejudice.

Substitute the claims attached hereto.

REMARKS:

This Amendment is submitted simultaneously with filing of the above identified application.

With the present Amendment applicant has amended the claims so as to eliminate their multiple dependency.

Consideration and allowance of the present application is most respectfully requested.

Respectfully submitted,

Michael J. Striker Attorney for Applicant(s) Reg. No. 27233

1		Claims
2		
3	1.	Piezoelectric actuator having
4	-	a piezoelectric element (2; 21) for actuating a mechanical component with
5		a pulling or pushing force, and a compensating element (3; 22), wherein
6		the piezoelectric element (2) and the compensating element (3; 22)
7		basically have the same temperature expansion coefficients, and wherein
8	-	the compensating element (3; 22) is mechanically coupled to the
9		piezoelectric element (2; 21) in such a fashion that the temperature-
10		induced expansions of the piezoelectric element (2; 21) and the
11		compensating element (3; 22) cancel each other out in the effective
12		direction in such a fashion that the actuating element remains in its
13		position.
14		
15	2.	Piezoelectric actuator according to claim 1, characterized in that
16	-	a heat transfer compound (12) is located between the piezoelectric
17		element (2; 21) and the compensating element (3; 22).
18		
19	3.	Piezoelectric actuator according to claim 1 [or 2], characterized in that
20	-	the piezoelectric element (2; 21) is supported on one end on a fixed
21		support plate (9), which fixed support plate (9) bears against the housing
22		(7) for the piezoelectric actuator (1; 20) via a spring (10) and which is
23		connected at the other end to a pretensioning spring (6; 23) via a pressing
24		plate (11; 24), which pretensioning spring (6; 23), in turn, is held against
25		the fixed support plate (9) with its other end, and that
26	-	the compensating element (3; 22) basically lies parallel to the piezoelectric
27		element (2; 21) and is also held against the fixed support plate (9) with
28		one end and solidly abuts the housing (7) with the other end.
29		
30	4.	Piezoelectric actuator according to claim 3, characterized in that

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1	-	the pretensioning spring (6) and the piezoelectric element (2) are located
2		in tandem.
3		
4	5.	Piezoelectric actuator according to claim 4, characterized in that
5	<u></u>	the movable end of the piezoelectric element (2) is connected to the
6		pressing plate (5) via a tightening strap (8).
7		
8	6.	Piezoelectric actuator according to claim 3, characterized in that
9	-	the pretensioning spring (23) and the piezoelectric element (21) are
10		situated parallel to each other.
11		
12	7.	Piezoelectric actuator according to [one of the preceding claims] claim 1,
13		characterized in that
14	-	the pretensioning spring is formed out of at least one zigzag spring (6; 23).
15		
16	8.	Piezoelectric actuator according to [one of the preceding claims] claim 1,
17		characterized in that
18	-	the piezoelectric element (2; 21) is composed of a multilayer structure of
19		transversely arranged, ceramic piezoelectric plies that become longer in
20		the effective direction when an external electric voltage is applied, and the
21		compensating element (3; 22) is made of ceramic.
22		
23	9.	Piezoelectric actuator according to [one of the claims 1 through 6] claim 1,
24		characterized in that
25	-	the piezoelectric element (2, 21) is composed of a multilayer structure of
26		transversely arranged, ceramic piezoelectric plies that become longer in
27		the effective direction when an external electric voltage is applied, and that
28		
29	-	the compensating element (3; 22) is composed of piezoelectric plies
30		arranged in the longitudinal direction that become shorter in the effective
31		direction when an external electric voltage is applied.

1		Claims
2		
3	1.	Piezoelectric actuator having
4	-	a piezoelectric element (2; 21) for actuating a mechanical component with
5		a pulling or pushing force, and a compensating element (3; 22), wherein
6		the piezoelectric element (2) and the compensating element (3; 22)
7		basically have the same temperature expansion coefficients, and wherein
8	-	the compensating element (3; 22) is mechanically coupled to the
9		piezoelectric element (2; 21) in such a fashion that the temperature-
10		induced expansions of the piezoelectric element (2; 21) and the
11		compensating element (3; 22) cancel each other out in the effective
12		direction in such a fashion that the actuating element remains in its
13		position.
14		
15	2.	Piezoelectric actuator according to claim 1, characterized in that
16	-	a heat transfer compound (12) is located between the piezoelectric
17		element (2; 21) and the compensating element (3; 22).
18		
19	3.	Piezoelectric actuator according to claim 1, characterized in that
20	-	the piezoelectric element (2; 21) is supported on one end on a fixed
21		support plate (9), which fixed support plate (9) bears against the housing
22		(7) for the piezoelectric actuator (1; 20) via a spring (10) and which is
23		connected at the other end to a pretensioning spring (6; 23) via a pressing
24		plate (11; 24), which pretensioning spring (6; 23), in turn, is held against
25		the fixed support plate (9) with its other end, and that
26	-	the compensating element (3; 22) basically lies parallel to the piezoelectric
27		element (2; 21) and is also held against the fixed support plate (9) with
28		one end and solidly abuts the housing (7) with the other end.
29		
30	4.	Piezoelectric actuator according to claim 3, characterized in that

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	2		in tandem.
	3		
	4	5.	Piezoelectric actuator according to claim 4, characterized in that
	5	-	the movable end of the piezoelectric element (2) is connected to the
	6		pressing plate (5) via a tightening strap (8).
	7		
	8	6.	Piezoelectric actuator according to claim 3, characterized in that
	9	-	the pretensioning spring (23) and the piezoelectric element (21) are
	10		situated parallel to each other.
	11		
	12	7.	Piezoelectric actuator according to claim 1, characterized in that
	13	-	the pretensioning spring is formed out of at least one zigzag spring (6; 23).
	14		
	15	8.	Piezoelectric actuator according to claim 1, characterized in that
	16	-	the piezoelectric element (2; 21) is composed of a multilayer structure of
	17		transversely arranged, ceramic piezoelectric plies that become longer in
	18		the effective direction when an external electric voltage is applied, and the
	19		compensating element (3; 22) is made of ceramic.
	20		
	21	9.	Piezoelectric actuator according to claim 1, characterized in that
	22	-	the piezoelectric element (2, 21) is composed of a multilayer structure of
	23		transversely arranged, ceramic piezoelectric plies that become longer in
	24		the effective direction when an external electric voltage is applied, and that
	25		
	26	-	the compensating element (3; 22) is composed of piezoelectric plies
	27		arranged in the longitudinal direction that become shorter in the effective

direction when an external electric voltage is applied.

the pretensioning spring (6) and the piezoelectric element (2) are located

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Prior Art

The invention provides a piezoelectric actuator, e.g., for actuating a mechanical component such as a valve or the like, according to the generic features of the primary claim.

It is generally known that, by utilizing the "piezoelectric effect", a piezoelectric element can be built using a material having a suitable crystal structure. When an external electrical voltage is applied, a mechanical reaction of the piezoelectric element takes place that represents a push or a pull in a specifiable direction depending on the crystal structure and the application site of the electrical voltage.

The piezoelectric actuators named previously are often used to position valves. In this case it should be noted, among other things, that their lifting ability for actuating a valve tappet, for example, is relatively small. On the other hand, the different thermal expansion of the ceramic of the piezoelectric element and the housing leads to problems; the piezoelectric element has a very low temperature expansion and the housing, which is usually metallic, has a positive temperature expansion, which can lead to a drifting of the position of the valve tappet without control by the piezoelectric element.

So far, such perturbing actions could only be diminished in the usual fashion by using very expensive materials, such as invar, which have a negative temperature expansion. Another method was to connect a material with high temperature expansion in series with the piezoelectric element, which, however, reduces the stiffness of the system and, therefore, the acutator force.

Advantages of the Invention

The piezoelectric actuator already explained hereinabove, which can be used to actuate a mechanical component, for example, advantageously comprises a piezoelectric element with which, according to the invention, a compensating element is arranged in parallel. It is particularly advantageous thereby that the piezoelectric element and the compensating element basically have the same temperature expansion coefficients, so that the temperature-induced expansions of the piezoelectric element and the compensating element—when the two elements are mechanically installed in suitable fashion—cancel each other out in the effective direction in such a fashion that an actuating element solidly connected to a pressing plate of the piezoelectric element remains in its position. It can therefore be achieved in simple fashion that a metallic housing, e.g., made of steel, is still used for the piezoelectric actuator, and the piezoelectric element can be fastened in the housing in such a fashion that the compensating element for temperature compensation is always solidly connected to the piezoelectric element. In an especially preferred exemplary embodiment of the invention, a heat transfer compound is located between the piezoelectric element and the compensating element, with which a good temperature compensation between the compensating element and the piezoelectric element can be produced.

According to the invention and in advantageous fashion, the piezoelectric element can be pretensioned in itself in simple fashion. The piezoelectric element is supported on one end against a fixed support plate that bears against a housing for the piezoelectric actuator via a spring. At the other end, the piezoelectric element is connected via a pressing plate to a pretensioning spring which, in turn, is held with its other end against the fixed support plate. The compensating element thereby lies basically parallel to the piezoelectric element and also bears against the fixed support plate at one end; at the other end, the compensating element solidly abuts the housing.

The pretensioning spring and the piezoelectric element can thereby be arranged 1 2 in simple fashion in tandem, for example, whereby the movable end of the 3 piezoelectric element can be connected to the pressing plate via a tightening 4 strap. It is also possible, however, that the pretensioning spring and the 5 piezoelectric element are situated parallel to each other, whereby, for instance, 6 two symmetrically located zigzag springs can be located in the heat transfer 7 compound as pretensioning springs that are also parallel to each other. 8 9 The proposed interconnection of the piezoelectric element, the ceramic ring, and 10 the pretensioning spring is solidly braced with the housing, whereby the 11 pretensioning forces are much higher than the switching forces of the 12 piezoelectric element, and the pretensioning spring makes a temperature 13 compensation motion relative to the housing possible. The magnitude of the 14 pretension can thereby be produced in simple fashion via a mounting nut. Even 15 when the temperature expansion coefficient of the compensating element does 16 not correspond to that of the piezoelectric element, the temperature 17 compensation can be "tuned" via the length of the compensating element. In the 18 exemplary embodiment, the support of the compensating element on the 19 mounting nut represents the common zero point, which mounting nut is solidly 20 connected to the housing. 21 22 This and further features of preferred further developments of the invention arise 23 out of the claims as well as the description and the drawings, whereby the 24 individual features can be realized alone or in plurality in the form of 25 subcombinations in the exemplary embodiment of the invention and in other 26

fields, and they can represent advantageous and inherently patentable

embodiments, for which protection is claimed here.

1 Drawing 2 Exemplary embodiments of the temperature-compensated piezoelectric actuator 3 according to the invention, e.g., for the positioning of a valve, are explained using 4 5 the drawing. 6 7 is a sectional view through a piezoelectric actuator held with a Figure 1 tightening strap with a compensating element and a pretensioning 8 9 spring located behind it, and 10 is a sectional view through a piezoelectric actuator with a Figure 2 11 12 compensating element and pretensioning springs located parallel to each other. 13 14 15 16 Description of the Exemplary Embodiments 17 18 Figure 1 shows a piezoelectric actuator that comprises a piezoelectric element 2 that is built in known fashion out of piezoelectric plies of a quartz material having 19 a suitable crystal structure, so that, by utilizing the "piezoelectric effect", when an 20 external electrical voltage is applied to electrodes not shown in this figure, a 21 22 mechanical reaction of the piezoelectric actuator 1 takes place. In the 23 piezoelectric actuator 1 according to Figure 1, the piezoelectric element 2 is 24 made of ceramic and a compensating element 3 is also made of ceramic, but 25 without a "piezoelectric effect". 26 27 The piezoelectric element 2 is surrounded by a tightening strap 4 that is welded to a pressing plate 5 at the top, which serves as the mount for a zigzag spring 6 28 29 as the tensioning spring. A base plate 11, with which the piezoelectric element 2 30 abuts, lies between the compensating element 3 which solidly bears against the

housing 7 or a mounting nut on the other side, and a sleeve arrangement 8,

which, in turn, bears against a fixed support plate 9. The fixed support plate 9, in 1 turn, is held against the housing 7 via a spring 10 for the compensating element 2 3. The pretensioning spring 6 is thereby held between the pressing plate 5 and 3 the fixed support plate 9. In the exemplary embodiment, an actuation of the 4 piezoelectric actuator 1 leads to an axial expansion of the piezoelectric element 2 5 and, therefore, to an axial motion of an actuating element not visible here. 6 7 A heat transfer compound 12 is applied between the piezoelectric element 2 and 8 the compensating element 3, which makes an optimal temperature compensation 9 of these two elements possible. Since the piezoelectric element 2 and the 10 compensating element 3 basically have the same temperature expansion 11 coefficients, the temperature-induced expansions of the piezoelectric element 2 12 and the compensating element 3 lead to a cancellation of the influences of both 13 elements 2 and 3 in the effective direction with the proposed mechanical 14 installation. The actuating element can therefore remain in its position. 15 16 17 A second exemplary embodiment of a piezoelectric actuator 20 is shown in 18 Figure 2, whereby the equally-acting components are labelled with the same 19 reference numerals as in Figure 1. In the arrangement according to Figure 2 as well, a piezoelectric element 21 and a compensating element 22 are produced 20 21 out of a ceramic materal with nearly identical temperature expansion coefficients. 22 Zigzag springs 23, as pretensioning springs, arranged in parallel, and a heat 23 transfer compound 12 are located here between the elements 21 and 22. In this 24 exemplary embodiment as well, an actuation of the piezoelectric actuator 20 25 leads to an axial expansion of the piezoelectric element 21 and, therefore, to an 26 axial motion of an actuating element—guided by a pressing plate 24 and not 27 shown here—against the pretension of the pretensioning springs 23. 28 29 Since, in this case as well, the piezoelectric element 21 and the compensating

element 22 basically have the same temperature expansion coefficients, the temperature-induced expansions of the piezoelectric element 21 and the

- 1 compensating element 22 lead to a cancellation of the influences of the two
- elements 21 and 22 in the effective direction with the proposed mechanical
- 3 installation. The actuating element solidly connected to the pressing plate 24 of
- 4 the piezoelectric element 21 can therefore remain in its position.

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	1		Claims
	2		
	3	1.	Piezoelectric actuator having
	4	-	a piezoelectric element (2; 21) for actuating a mechanical component with
	5		a pulling or pushing force, and a compensating element (3; 22), wherein
	6		the piezoelectric element (2) and the compensating element (3; 22)
	7		basically have the same temperature expansion coefficients, and wherein
	8	-	the compensating element (3; 22) is mechanically coupled to the
	9		piezoelectric element (2; 21) in such a fashion that the temperature-
	10		induced expansions of the piezoelectric element (2; 21) and the
	11		compensating element (3; 22) cancel each other out in the effective
	12		direction in such a fashion that the actuating element remains in its
	13		position.
into III	14		
	15	2.	Piezoelectric actuator according to claim 1, characterized in that
The state of the s	16	-	a heat transfer compound (12) is located between the piezoelectric
	17		element (2; 21) and the compensating element (3; 22).
	18		
	19	3.	Piezoelectric actuator according to claim 1 or 2, characterized in that
	20	-	the piezoelectric element (2; 21) is supported on one end on a fixed
	21		support plate (9), which fixed support plate (9) bears against the housing
	22		(7) for the piezoelectric actuator (1; 20) via a spring (10) and which is
	23		connected at the other end to a pretensioning spring (6; 23) via a pressing
	24		plate (11; 24), which pretensioning spring (6; 23), in turn, is held against
	25		the fixed support plate (9) with its other end, and that
	26	-	the compensating element (3; 22) basically lies parallel to the piezoelectric
	27		element (2; 21) and is also held against the fixed support plate (9) with
	28		one end and solidly abuts the housing (7) with the other end.
	29		
	30	4.	Piezoelectric actuator according to claim 3, characterized in that

1	-	the pretensioning spring (6) and the piezoelectric element (2) are located
2		in tandem.
3		
4	5.	Piezoelectric actuator according to claim 4, characterized in that
5	-	the movable end of the piezoelectric element (2) is connected to the
6		pressing plate (5) via a tightening strap (8).
7		
8	6.	Piezoelectric actuator according to claim 3, characterized in that
9	-	the pretensioning spring (23) and the piezoelectric element (21) are
10		situated parallel to each other.
11		
12	7.	Piezoelectric actuator according to one of the preceding claims,
13		characterized in that
14	-	the pretensioning spring is formed out of at least one zigzag spring (6; 23).
15		
16	8.	Piezoelectric actuator according to one of the preceding claims,
17		characterized in that
18	-	the piezoelectric element (2; 21) is composed of a multilayer structure of
19		transversely arranged, ceramic piezoelectric plies that become longer in
20		the effective direction when an external electric voltage is applied, and the
21		compensating element (3; 22) is made of ceramic.
22		
23	9.	Piezoelectric actuator according to one of the claims 1 through 6,
24		characterized in that
25	-	the piezoelectric element (2, 21) is composed of a multilayer structure of
26		transversely arranged, ceramic piezoelectric plies that become longer in
27		the effective direction when an external electric voltage is applied, and that
28		
29	-	the compensating element (3; 22) is composed of piezoelectric plies
30		arranged in the longitudinal direction that become shorter in the effective
31		direction when an external electric voltage is applied.

1	Abstract
2	
3	A piezoelectric actuator, e.g., for actuating a mechanical component, is
4	proposed, in which a piezoelectric element (2) for acting on an actuating element
5	(9) with a pulling or pushing force, and a compensating element (3; 20) are
6	provided, wherein the piezoelectric element (2) and the compensating element
7	(3; 20) basically have the same temperature expansion coefficients. The
8	compensating element (3; 20) is mechanically coupled to the piezoelectric
9	element (2) in such a fashion that the temperature-induced expansions of the
10	piezoelectric element (2) and the compensating element (3; 20) cancel each
11	other out in the effective direction in such a fashion that the actuating element (9)
12	remains in its position. A heat transfer compound (12) is located between the
13	piezoelectric element (2; 21) and the compensating element (3; 22).
14	
15	(Figure 1)

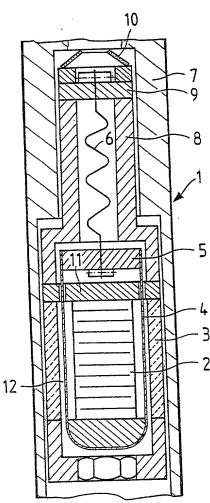
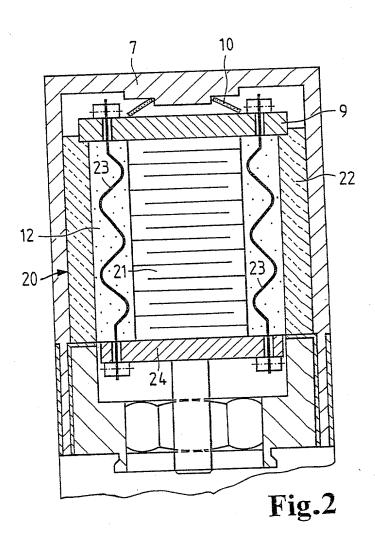


Fig.1



DECLARATION AND POWER OF ATTORNEY FOR NATIONAL STAGE OF PCT PATENT APPLICATION

As a below-named inventor, I hereby declare that:

Friedrich BOECKING

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **PIEZOELECTRIC ACTUATOR** the specification of which was filed as PCT International Application number PCT/DE 00/01838 on June 6, 2000.

I hereby state that I believe the named inventor or inventors in this Declaration to be the original and first inventor or inventors of the subject matter which is claimed and for which a patent is sought.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365 (b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior foreign application(s):

Priority claimed:

199 28 183.1-32	GERMANY	JUNE 19, 1999	X	No
(Number)	(Country)	(Date filed)	Yes	
(Number)	(Country)	(Date filed)	Yes	No

As a named inventor, I hereby appoint the following attorney to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Michael J. Striker, Reg. No. 27233

Direct all telephone calls to Striker, Striker & Stenby at telephone no.: (631) 549 4700 and address and all correspondence to:

STRIKER, STRIKER & STENBY 103 East Neck Road Huntington, New York 11743 U.S.A.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such wilful false statement may jeopardize the validity of the application or any patent issued thereon.

Signature: Hull	Date: 14, 12.01	Residence and Full Postal Address: Mainzer Strasse 27
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Full Name of Fourth Inventor:	Citizenship:	
Signature:	Date:	Residence and Full Postal Address:
Full Name of Fifth Inventor:	Citizenship:	
Signature:	Date:	Residence and Full Postal Address:
Full Name of Sixth Inventor:	Citizenship:	
Signature:	Date:	Residence and Full Postal Address:
Full Name of Seventh Inventor:	Citizenship:	
Signature:	Date:	Residence and Full Postal Address:
Full Name of Eighth Inventor:	Citizenship:	
Signature:	Date:	Residence and Full Postal Address:
Full Name of Ninth Inventor:	Citizenship:	